



Atty. Docket No. 66161 (7114)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Courtney C. Konopka

Appln. 09/692,846

Filed: October 19, 2000

Title: NATURAL LANGUAGE INTERFACE  
CONTROL SYSTEM

Group Art

Unit: 2654


Examiner: Lamont Spooner

**CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Director - U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313, on this date.

7/19/06

Date

  
Timothy R. Baumann  
Registration No. 40,502  
Attorney for Applicants

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This is an Information Disclosure Statement being submitted in accordance with 37 C.F.R. §§ 1.97 - 1.98 in connection with the above-identified application. Applicant brings the following documents to the attention of the Examiner:

| FOREIGN PATENT DOCUMENTS   |                  |                             |
|--|------------------|-----------------------------|
| Foreign Patent Document  | Publication Date | Name of Patentee or         |
| Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> | MM-DD-YYYY       | Applicant of Cited Document |
| EP 0834862 A2  | 1998-08-04       | Lucent Technologies, Inc.   |
| EP 0386765 A2  | 1990-12-09       | Nippon Telegraph Corp.      |
|  |                  |                             |

**NON PATENT LITERATURE DOCUMENTS**

KAWABATA T. Topic Focusing Mechanism For Speech Recognition Based On Probabilistic Grammar and Topic Markov Model, Proceedings of the International Conference on Acoustics, Speech and Signal Processing (ICASSP), US, New York, IEEE, 1995-05-09, pp. 317-320, ISBN: 0-7803-2432-3

PCT International Preliminary Examining Authority, Written Opinion, PCT/US00/29036, 2001-11-13

**REMARKS**

Pursuant to 37 CFR §1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR §1.56(b).

It is respectfully requested that the Examiner consider the above materials and make them of record in the above-captioned application.

The Commissioner is hereby authorized to charge any fees which may be required in this application under 37 C.F.R. §§1.16-1.17 to Deposit Account No. 06-1135.

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY



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Dated: July 19, 2006

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| <b>PTO/SB/08a</b><br>Substitute for Form 1449A PTO |   | <b>Application Number</b>   |   | 09/692,846             |              |
|  |   | <b>Filing Date</b>          |   | October 19, 2000       |              |
|  |   | <b>First Named Inventor</b> |   | Courtney C. Konopka    |              |
|  |   | <b>Art Unit</b>             |   | 2654                   |              |
|  |   | <b>Examiner Name</b>        |   | Lamont Spooner         |              |
| <b>Sheet</b>                                       | 1 | of                          | 2 | <b>Attorney Docket</b> | 66161 (7114) |

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number               | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear |
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|                       |                          | Number-Kind Code <sup>2</sup> |                                |  |   |
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**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document  | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |
|-----------------------|--------------------------|--|--------------------------------|--|---|----------------|
|                       |                          | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> |                                |  |   |                |
|                       |                          | EP 0834862 A2  | 1998-08-04                     | Lucent Technologies Inc.                           |   |                |
|                       |                          | EP 0386765 A2  | 1990-12-09                     | Nippon Telegraph Corp.                             |   |                |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



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|---|---|----------------------|---|---------------------|--------------|
| <b>PTO/SB/08b</b><br>Substitute for Form 1449B/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   | Application Number   |   | 09/692,846          |              |
|   |   | Filing Date          |   | October 19, 2000    |              |
|   |   | First Named Inventor |   | Courtney C. Konopka |              |
|   |   | Art Unit             |   | 2654                |              |
|   |   | Examiner Name        |   | Patrick Edouard     |              |
| Sheet   | 2 | of                   | 2 | Attorney Docket     | 66161 (7114) |

| NON PATENT LITERATURE DOCUMENTS |                          |  |                |
|---------------------------------|--------------------------|--|----------------|
| Examiner<br>Initials*           | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate),<br>title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s),<br>volume-issue number(s), publisher, city and/or country where published.                | T <sup>2</sup> |
|                                 | 1                        | KAWABATA T. Topic Focusing Mechanism For Speech Recognition Based On Probabilistic Grammar and Topic Markov Model, Proceedings of the International Conference on Acoustics, Speech and Signal Processing (ICASSP), US, New York, IEEE, 1995-05-09, pp. 317-320, ISBN: 0-7803-2432-3 |                |
|                                 | 2                        | PCT International Preliminary Examining Authority, Written Opinion, PCT/US00/29036, 2001-11-13   |                |
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| Examiner<br>Signature |  | Date<br>Considered |  |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  
<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.